

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant(s):** Ricky Amos, et al.

**Examiner:** Matthew C. Landau

**Serial No:** 09/995,031

**Art Unit:** 2815

**Filed:** November 29, 2001

**Docket:** YOR920010633US1(19031)

**For:** HIGH TEMPERATURE  
PROCESSING COMPATIBLE  
METAL GATE ELECTRODE  
FOR pFETs AND METHOD FOR FABRICATION

**Dated:** November 2, 2007

**Confirmation No:** 9669

Mailstop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached electronic Form PTO/SB/08a, be made of record in the above-identified case.

1. Japanese Laid-Open Patent Publication No. 11-243195 dated September 7, 1999 (together with English language abstract);
2. Japanese Laid-Open Patent Publication No. 3-227562 dated October 8, 1991 (together with English language abstract);

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**CERTIFICATE OF ELECTRONIC FILING**

I hereby certify that this correspondence is being deposited with the United States Patent & Trademark Office via Electronic Filing through the United States Patent and Trademark Office e-business website, on November 2, 2007.

Dated: November 2, 2007

  
Leslie S. Szivos, Ph.D.

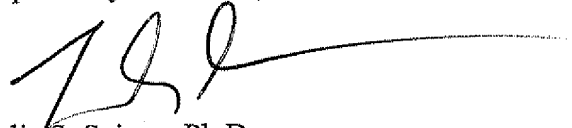
3. Japanese Laid-Open Patent Publication No. 2000-252370 dated September 14, 2000 (together with English language abstract);
4. Japanese Laid-Open Patent Publication No. 10-135452 dated May 22, 1998 (together with English language abstract);
5. Japanese Laid-Open Patent Publication No. 8-102247 dated April 16, 1996 (together with English language abstract); and
6. U.S. Patent Application Publication No. 2001/0032995 published October 25, 2001.

The references were cited in an Office Action dated August 14, 2007 received from the Japanese Patent Office. Applicants are submitting copies of the above-cited references required by 37 C.F.R. 1.98 (a)(2)(i) and (ii). Please note that reference 6 was previously cited by the Examiner in an Office Action dated August 12, 2002. The relevance of the references is described in the Japanese Official Action.

I hereby state that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Applicants also submit the requisite \$180.00 official fee pursuant to § 1.17(p).

Respectfully submitted,



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